IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : National Phase Entry of PCT/EP2004/014713
Applicant : Fariba HATAMI et al
Filed : Herewith
TC/A.U. :
Examiner :

Docket No. : 3367-103
Customer No. : 6449
Confirmation No.:

INFORMATION DISCLOSURE STATEMENT

Director of the United States Patent
and Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with applicants duty of disclosure under 37 C.F.R. 1.56, enclosed is a copy of the International Search Report in the corresponding international application. The relevance of the references is noted in the International Search Report and copies of the references are provided herewith for Examiner's review. Also enclosed are relevant documents know by the applicants.

For DE 199 32 880 A1 enclosed is the English Abstract of equivalent application WO00/17094.

U.S. Patents 6,984,850 and 6,936,858 are the English equivalent of DE 199 39 471A1.

A number of other references were cited in companion application U.S. Serial Number 10/574512.

Please charge any fee deficiency or credit any overpayment to Deposit Account No. 02-2135.

Respectfully submitted,

By _____

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RBM/cb

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Application Number	10/584882 New Application
				Filing Date	Herewith
				First Named Inventor	Fariba HATAMI et al
				Group Art Unit	
				Examiner Name	
				Confirmation No.	
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	U.S. Patent Document Number	Kind Code[2] (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	1.	6,984,850		Hiroshi Nakatsu	1/10/06
	2.	6,936,858		Hiroshi Nakatsu I	8/30/05
	3.	5,952,680		Samuel C. Strite	9/14/99
	4.	5,658,825		Manijeh Razeghi	8/19/97
	5.	6,469,324		Tien Wang	10/22/02
	6.	6,535,186		Karel Havel	3/18/03
	7.	6,577,287		Karel Havel	6/10/03
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	9.	2003/127608	A1	Shields et al	7/10/03
	10.	2002/145148	A1	Okuyama Hiroyuki et al	10/10/02
	11.	5,075,743	A	Behfar-Rad et al	12/24/91
	12.	2002/0136932	A1	Yoshida	9/26/02
	13.	2003/0170927	A1	Holonyak et al	9/11/03
	14.	2002/0114367	A1	Stintz et al	8/22/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1]Unique citation designation number. [2]See attached Kinds of U.S. Patent Documents. [3]Enter Office that issued the document, by the two-letter code. [4]For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5]Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6]Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Application Number	New Application 10/684882
				Filing Date	Herewith
				First Named Inventor	Fariba HATAMI et al
				Group Art Unit	
				Examiner Name	
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Sheet	2	of	4	Attorney Docket Number	3367-103

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document: Office[3] Code	Foreign Patent Document: Number[4]	Foreign Patent Document: Kind[5] (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T[6]
	15.	JP	08335718 (abstract only)	A	Daido Steel Co., Ltd.	12/17/96	AB
	16.	WO	02/065520	A1	Infineon Technolgies AG	8/22/02	
	17.	WO	00/17094 (abstract only)		Fascko et al	3/30/02	AB
	18.	EP	1 424 736	A1	Max-Planck...	6/2/04	
	19.	WO	99/50916	A1	Massachusetts Institute...	10/7/99	

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				Group Art Unit	
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T[2]
	20.	Chen et al., "Normal-incidence voltage-tunable middle- and long-wavelength infrared photoresponse in self-assembled InAs quantum dots", APPLIED PHYSICS LETTERS, AMERICAN INSTITUTE OF PHYSICS, vol. 80, no. 14, April 8, 2002, pgs. 2490-2492.	
	21.	Hatami et al., "InP quantum dots embedded in GaP: Optical properties and carrier dynamics", PHYSICAL REVIEW B, vol. 67, no. 8, February 15, 2003, pgs. 85306-1- 85306-8.	
	22.	Balkan et al., "Tunable wavelength hot electron light emitter", APPLIED PHYSICS LETTERS, AMERICAN INSTITUTE OF PHYSICS, vol. 67, August 14, 1995, no. 7, pgs. 935-937.	
	23.	Reed et al., "Three-terminal bias induced dual wavelength semiconductor light emitter", APPLIED PHYSICS LETTERS, AMERICAN INSTITUTE OF PHYSICS, vol. 65, no. 5, August 1, 1994, pgs. 570-572.	
	24.	W. T. Masselink and Yia-Chung Chang, "Theory of the Exciton Bound to an Isoelectronic Trap in GaP," Phys. Rev. Lett. 51, 509-512 (1983)	
	25.	F. A. Kish, F. M. Steranka, D. C. DeFevere, D. A. Vanderwater, K. G. Park, C. P. Kuo, T. D. Osentowski, M. J. Peanasky, J. G. Yu, R. M. Fletcher, D. A. Steigerwald, M. G. Craford, and V. M. Robbins: "Very high-efficiency semiconductor wafer-bonded transparent-substrate (AlxGa1-x)0.5In0.5P/GaP light-emitting diodes", Appl. Phys. Lett. 64, 2839-41 (1994)	
	26.	N. F. Gardner, H. C. Chui, E. I. Chen, M. R. Krames, J-W. Huang, F. A. Kish, S. A. Stockman, C. P. Kocot, T. S. Tan, and N. Moll: "1.4x efficiency improvement in transparent-substrate (AlxGa1-x)0.5In0.5P light-emitting diodes with thin (≥ 2000Å) active regions", Appl. Phys. Lett. 74, 2230-32 (1999)	
	27.	W. T. Masselink, and Martin Zachau: "In0.35Ga0.65P light-emitting diodes grown by gas-source molecular beam epitaxy", Appl. Phys. Lett. 61, 58-60 (1992)	
	28.	F. Hatami, W. T. Masselink, L. Schrottke, J. W. Tomm, V. Talalaev, C. Kristukat, and A. R. Goni: InP quantum dots embedded in GaP: "Optical properties and carrier dynamics", *Phys. Rev. B 67,* 85306-14 (2003)	
	29.	Goni et al, "Electronic Structure of self-assembled InP/GaP quantum dots from high-pressure photoluminescence", Physical Review, B, THE AMERICAN PHYSICAL SOCIETY, vol. 67 pgs. 075306-1- 075306-5, 2003.	
	30.	W. T. Masselink, F. Hatami, G. Mussler, and L. Schrottke: "InP quantum dots in (100) GaP: Growth and luminescence", *Materials Science in Semi-conductor Processing 4,* 497-501 (2001) (Proceedings of the International Conference on Materials for Advanced Technologies (ICMAT 2001), 1-6 July 2001, Singapore)	

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				Application Number	New Application 10/594082
				Filing Date	Herewith
				First Named Inventor	Fariba HATAMI et al
				Group Art Unit	
				Examiner Name	
				Confirmation No.	
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	31.	Hatami et al., "Radiative recombination from InP quantum dots on (100) GaP", APPLIED PHYSICS LETTERS, vol. 78, no. 15, 9 April 2001, pgs. 2163-2165.	
	32.	Walter et al., "Room-temperature continuous photopumped laser operation of coupled InP quantum dot and InGaP quantum well InP-InGaP-In(AlGa)P; InAlP heterostructures", APPLIED PHYSICS LETTERS, vol. 79, no. 13, 24 September 2001, pgs. 1956-1958.	
	33.	Micic et al., "Highly efficient band-edge emission from InP quantum dots", APPLIED PHYSICS LETTERS, vol. 68, no. 22, 27 May 1996, pgs. 3150-3152.	

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